



Queensland Government

REGISTERED TRAINING ORGANISATION USER CHOICE PRE-QUALIFIED SUPPLIER 2010 – 2015 POLICY

Approving Authority	Executive Director, Skills Investment Department of Education, Training and Employment
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Related Policies and Documents	User Choice 2010 - 2015 Policy User Choice Continuing Student Policy 2010 - 2011 User Choice Continuing Student Policy 2011 - 2012 User Choice Continuing Student Policy 2012 - 2013 Vocational Education and Training (VET) Pre-qualified Supplier Agreement
Version Control	Version: 2.2

DEFINITIONS

In this policy the following definitions apply:

'Act' means the *Vocational Education, Training and Employment Act 2000*.

'DELTA' means the Department's Direct Entry Level Training Administration database system.

'Department' means the Queensland Government Department of Education, Training and Employment;

'Funding Program' means a Departmental program for funding training and assessment services e.g. User Choice 2010 – 2015 program.

'New Student' means a student:

- (a) recorded on DELTA as having an active registration number commencement date on or after 1 July 2010; or
- (b) who recommences an apprenticeship or traineeship on or after 1 July 2010.

'Pre-qualified Supplier' or **'PQS'** means an RTO approved by the Department as a Pre-qualified Supplier to deliver publicly-funded User Choice training and assessment services.

'QTIS' means the Queensland Training Information Service.

'RTO' means a 'Registered Training Organisation' as defined in the Vocational Education, Training and Employment Act 2000.

'Training and Assessment' means:

- (a) off-the-job vocational education and training provided in Queensland by the Pre-qualified Supplier; and
- (b) assessment provided in Queensland by the Pre-qualified Supplier, to a student in accordance with the Act, Training Contract and Training Plan for the student.

'VET' means Vocational Education and Training.

POLICY INTENT

Background

Commencing 1 July 2010 the Department will introduce a new methodology for funding User Choice training and assessment services in Queensland.

The PQS system provides a comprehensive central register of pre-qualified VET providers. It provides performance requirements and guidelines for the selection of training and assessment providers for the delivery of VET services funded by the Department of Education and Training.

Pre-qualification applies to all RTOs directly contracted by the Department to deliver User Choice training and assessment services.

There are no application or annual registration fees directly associated with the PQS system.

Based on national standards and Department-specific requirements in quality management, the Pre-qualified Supplier process aims to provide industry, apprentices, trainees and their employers with a level of assurance that their chosen supplier is able to deliver quality training and assessment services in Queensland.

It is the Department's intention that the Pre-qualification process will be progressively implemented across a range of VET Funding Programs.

SCOPE

User Choice Pre-Qualified Supplier Policy Framework

The PQS process will operate under the following policy framework:

- Any preferred supplier status for the User Choice program held by an RTO prior to 1 July 2010, through any previous process, will cease on 30 June 2010.
- RTOs who held a contract under the User Choice 2006 - 2010 program and who have been subsequently awarded a *VET User Choice Program Continuing Student Agreement* will retain their preferred supplier status for the purpose of fulfilling their obligations under the agreement. RTO's who are awarded a VET User Choice Program Continuing Student Agreement must apply for PQS status to deliver training and assessment services for any New Student/s.
- RTOs, including TAFE Institutes, who wish to deliver publicly-funded User Choice training and assessment services in Queensland must apply for, and be granted, Pre-qualified Supplier status by the Department.
- PQS status is granted for successful applicants from the start date specified in the Department's letter of authority advising an RTO of their success in obtaining PQS status.
- The Department reserves the right to revoke an RTO's PQS status subject to the terms and conditions of the *VET Pre-qualified Supplier Agreement* and/or a VET User Choice Program Continuing Student Agreement.
- RTOs that are approved and accepted as a User Choice Pre-qualified Supplier may, in future, be able to deliver training and assessment services across other publicly-funded VET Delivery Programs, subject to Departmental requirements.

Other Funding Programs

- Other funding programs may in the future, also require RTOs to be Pre-qualified Suppliers before they are able to deliver VET and related services under these programs.
- Where a Funding Program requires an RTO to become a Pre-qualified Supplier, the RTO will be subject to the terms and conditions of the *VET Pre-qualified Supplier Agreement*.
- The delivery of training, assessment and other services will also be subject to the policies relating to the relevant Funding Program.

Eligibility Requirements

- Only RTOs who have an active registration status in Queensland are eligible to apply for PQS status.
- RTOs must be registered to deliver full qualifications under their scope of registration in order to apply for PQS status.
- RTOs must meet, and agree to adhere to, the requirements of the relevant Funding Program and its policies to be granted PQS status.
- To be eligible for PQS status, the RTO must meet the requirements of the PQS application process and agree to terms and conditions contained within the *VET Pre-qualified Supplier Agreement*.

APPLICATION PROCESS

- RTOs can only apply for PQS status online through the Department's Purchasing Online (POL) system.

POL is the Department's web-enabled system for RTOs to make application to deliver publicly-funded training in Queensland.

- PQS applicants must complete and submit the online PQS Application in order to be considered for PQS status. Within the application, RTOs will be required to nominate the qualifications they intend to deliver.
- Some qualifications, such as those considered as thin markets, are managed under special arrangements by the Department. Funding for these qualifications is, at the Department's discretion, excluded or restricted from the User Choice funding program. Only RTOs who hold PQS status and are specifically authorised by the Department in writing can deliver publicly-funded User Choice training and assessment services for these qualifications.

Pre-qualified Suppliers seeking to deliver training and assessment services for a restricted qualification can submit a business case to the Department for consideration. The business case must demonstrate the RTO's capacity to grow the training market for the particular restricted qualification.

- As part of the application process for PQS status, applicants must print two (2) copies of the *VET Pre-qualified Supplier Agreement*, sign both copies and return them to the Department as part of their application.
- The Department will assess applications received for Pre-qualified Supplier status, which may include (but not limited to):
 - checking that the responses to the application questions are true and correct
 - seeking advice from relevant industry engagement body/s regarding the RTO's application
 - ascertaining whether any non-compliance issues are current through audit
 - checking the financial viability of the RTO's business.
- PQS status will only be granted upon receipt and approval of an RTO's application and subsequent execution of the *VET Pre-qualified Supplier Agreement* by the Department. Applicants for PQS status will be notified in writing of the Department's decision regarding their application.
- Successful applicants will be advised of their authority to commence delivery against approved qualifications and will receive an executed copy of the *VET Pre-qualified Supplier Agreement* for their records.
- The Department will publish business contact details and a list of approved qualifications on QTIS for successful Pre-qualified Suppliers, unless otherwise requested in writing by the PQS and subsequently approved by the Department.
- Any training or assessment services delivered by a PQS which commences prior to the period specified in the Department's letter of authority, cannot be claimed for, or receive, payment under the User Choice 2010 – 2015 program.

PRE-QUALIFIED SUPPLIER RESPONSIBILITIES

VET Pre-qualified Supplier Agreement

- Pre-qualified Suppliers will be subject to the terms and conditions of the *VET Pre-qualified Supplier Agreement*.

Maintenance of Records

- RTOs can make the following requests for correction and variation to a PQS application after submitting it:
 - Correcting errors in your application after submission, but prior to Departmental approval:
Submitted applications can only be modified by contacting the Department in writing and requesting the specific changes you require.
 - Variations to approved applications:
Variations to applications which have been approved by the Department can be made online through the Variations Online (VOL) function which is part of the Department's Purchasing Online (POL) system. Pre-qualified Suppliers can access POL at <https://www.trainandemploy.qld.gov.au/tol>.

POL enquiries, such as password resets and accessing the POL system should be made to:

Email: purchasingonline@dete.qld.gov.au

Phone: (07) 3227 6271

VOL enquiries, such as how to add or remove a qualification from your delivery schedule should be made to:

Email: vps.admin@dete.qld.gov.au

Phone: (07) 3405 3715

All correction and variation requests are subject to written approval by the Department.

- A PQS who makes application to delete their PQS status will be subject to Departmental approval and any other Standard or Regulation relating to the transition of any existing students.
- Pre-qualified Suppliers must agree that the Department will take no responsibility for, and will not or accept liability for, publishing any incorrect business contact or qualification information.
- Only RTOs with PQS status can make a claim for payment for delivery of training and assessment services. The PQS status must be current at the time the training and assessment services are delivered and at the time funding is claimed in relation to that delivery.
- Pre-qualified Suppliers must maintain full registration for each qualification they are approved to deliver.

Pre-qualified Suppliers to publish audit information

- Pre-qualified Suppliers must publish a summary of their latest audit information in a prominent place on their own website (linked directly from their homepage).

The minimum VET Quality Framework audit information to be published is:

- Audit date
- Qualifications audited (*List all of the qualifications that were audited as the date listed above*)
- Audit Outcomes
 - Audit non-compliance identified: Yes / No
 - A summary of significant and/or critical non-compliances and actions taken to rectify
 - Non-compliances rectified: Yes / No / Not Applicable
- Explanatory notes:
Non-compliances are categorised as minor, significant or critical.

Minor: The evidence reviewed indicates that the requirements of the VET Quality Framework have not been met but there is minimal or no serious adverse impact on learners and /or persons affected by the outcomes of the training and assessment service provided.

Significant: The evidence reviewed indicates that the requirements of the VET Quality Framework have not been met and there are indications of a serious or potentially serious adverse impact on learners and / or persons affected by the outcomes of the training and assessment service provided.

Critical: The evidence reviewed indicates that the requirements of the VET Quality Framework have not been met and there is an actual or potential critical adverse impact on learners and / or persons affected by the outcomes of the training and assessment service provided.

PRE-QUALIFIED SUPPLIER PERFORMANCE

- Pre-qualified Suppliers will be subject to Key Performance Indicators detailed in the *User Choice 2010 – 2015 Policy*.
- Failure to meet the Key Performance Indicators required for the Funding Program may result in termination of the *VET Pre-qualified Supplier Agreement* and removal of PQS status.
- The Department will undertake monitoring and evaluation activities to ensure Pre-qualified Suppliers are adhering to the required standards and acting within the intent of the relevant Funding Program.